SOME RESULTS ON THE LOCAL MODULI OF NON-SINGULAR NORMALIZATIONS OF SURFACES WITH ORDINARY SINGULARITIES

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(Received December 4, 1986)

General notation. If Y, Z, D, L, \mathcal{G} are a complex manifold, a subvariety of Y, a divisor on Y, a holomorphic line bundle over Y and a coherent analytic sheaf over Y, respectively, then we put

- \mathcal{O}_Y : the structure sheaf of Y,
- Ω_Y^p : the sheaf of germs of holomorphic *p*-forms on *Y*,
- T_{Y} : the sheaf of germs of holomorphic vector fields on Y,
- K_{Y} : the canonical divisor of Y,
- $\mathscr{I}(Z)$: the sheaf of ideal of Z in \mathscr{O}_{Y} ,
- $T_{Y}(-Z)$: the subsheaf of T_{Y} consisting of those holomorphic vector fields on Y which vanish on Z,
- $T_{Y}(\log Z)$: the subsheaf of T_{Y} consisting of the derivations of \mathcal{O}_{Y} which send $\mathscr{I}(Z)$ into itself (we call this sheaf the logarithmic tangent sheaf along Z),
- [D]: the line bundle determined by the divisor D,
- $\mathcal{O}_{\mathbb{Y}}(L)$: the sheaf of germs of local holomorphic cross-sections of L,
- $\mathcal{O}_{Y}(L-Z)$: the subsheaf of $\mathcal{O}_{Y}(L)$ consisting of germs of those local holomorphic cross-sections of L which vanish on Z,
- $\mathcal{O}_{r}(L-2Z)$: the subsheaf of $\mathcal{O}_{r}(L)$ consisting of germs of those local holomorphic cross-sections of L whose fiber coordinates vanish on Z together with their partial derivatives,

$$\begin{split} & \varOmega_Y^p(L) := \varOmega_Y^p \bigotimes_{\mathscr{O}_Y} \mathscr{O}_Y(L) , \quad \varOmega_Y^p(L-Z) := \varOmega_Y^p \bigotimes_{\mathscr{O}_Y} \mathscr{O}_Y(L-Z) , \\ & \mathscr{O}_Z(L) := \mathscr{O}_Y(L) / \mathscr{I}(Z) \mathscr{O}_Y(L), \end{split}$$

$$\mathcal{N}_{Z} := T_{Y}/T_{Y}(\log Z)$$
, $h^{q}(Y, \mathscr{G}) := \dim_{c} H^{q}(Y, \mathscr{G})$.

Furthermore, if Z is non-singular, we put

 $N_{Z/Y}$: the normal bundle of Z in Y, or the sheaf of germs of normal vectors on Z in Y.

If $f: Y_1 \rightarrow Y_2$ is a holomorphic map between complex manifolds, we put

 \mathscr{T}_{Y_1/Y_2} : the cokernel of the natural sheaf homomorphism: $T_{Y_1} \rightarrow f^*T_{Y_2}$.

Throughout this paper we mean by a *surface* a compact irreducible analytic variety of dimension two, where an analytic variety means a reduced complex space. Diagrams in this paper are always commutative and exact unless otherwise explicitly mentioned.

Introduction. A surface S embedded in a compact threefold W is said to be with ordinary singularities if, for each singular point p of S, there exists on W a local coordinate (x, y, z) with center p such that; in a neighborhood of p, the surface is defined by one of the following three equations:

- (1) yz = 0 (double point), (2) xyz = 0 (triple point),
- (3) $xy^2 z^2 = 0$ (cuspidal point).

If we are given a non-singular algebraic surface X embedded in a complex projective space $P^{N}(C)$ $(N \ge 4)$, projecting X into a three dimensional linear subspace $P^{3}(C) \subset P^{N}(C)$ by a generic linear projection, we get a surface S with ordinary singularities in $P^{3}(C)$. We note that in this situation X is the normalization of S. In view of this wellknown fact, Horikawa [3], Tsuboi [13] made attempts to compute the number of moduli of deformations of the complex structures of some algebraic surfaces X which are the normalizations of surfaces S with ordinary singularities in $P^{3}(C)$ by computing the number of effective parameters of maximal families of displacements of S in $P^{3}(C)$. The problem we encounter in this attempt is whether the so-called connecting homomorphism

$$\delta: H^{0}(S, \Phi_{S}) \rightarrow H^{1}(X, T_{X})$$

is surjective, where Φ_s denotes the sheaf of infinitesimal displacements of the surface S in an ambient space (for the precise definition see [13] and [7]). In [13] we gave some sufficient conditions, expressed in terms of some sheaf cohomology concerning S, X and the ambient space W of S, for the connecting homomorphism δ to be surjective. In this paper we shall sharpen this result (Theorem 1.1). Making use of this result, we shall compute the number of moduli of certain algebraic surfaces of general type which are the normalizations of surfaces with ordinary singularities in the projective 3-space, of type (n, r_1, r_2, r_3) (Theorem 2.1).

1. Proof of the main theorem. Let S be a surface with ordinary singularities in a compact threefold W. We denote by X, Δ and Σt the normalization of S, the double curve of S and the set of triple points of S, respectively. We consider the following diagram:



where $u_1: W^* \to W$ is the blowing up along Σt ; $u_2: \hat{W} \to W^*$ is the blowing up along the proper inverse image of \varDelta by the map u_1 ; $u: \hat{W} \to W$ is the composite of u_1 and u_2 ; \hat{S} is the proper inverse image of S by the map u; $\phi: \hat{S} \to W$ is the restriction of u to \hat{S} ; $\lambda: X \to S$ is the normalization of S; $\psi: X \to W$ is the composite of λ and the natural inclusion map $c: S \hookrightarrow W$. The map $\mu: \hat{S} \to X$ is as follows:

 \hat{S} is a desingularization of S, but not the normalization of S. There appear the exceptional curves of the first kind on \hat{S} , which correspond to the set Σt of triple points of S. The map $\mu: \hat{S} \to X$ is the blowing down of these exceptional curves.

In this situation, the following are known to hold (cf. [13]):

PROPOSITION 1.1. There exists a commutative diagram of exact sequences of sheaves on W



where the sheaf $\mathscr{T}_{\hat{w}'/w}$ is defined to be the cokernel of the canonical injective sheaf homomorphism $T_{\hat{w}}(\log \hat{S}) \rightarrow u^*T_w$.

PROPOSITION 1.2.

(1.1)

$$\begin{split} H^p(W, \, \mathcal{Q}^1_{W}([S + K_W] - \varDelta)) & \stackrel{\sim}{\longrightarrow} H^p(\hat{W}, \, u^* \mathcal{Q}^1_{W} \otimes_{\mathscr{O}_{\hat{W}}} \mathscr{O}_{\hat{W}}([\hat{S} + K_{\hat{W}}])) \\ & \stackrel{\sim}{\simeq} H^{\mathfrak{z}-p}(\hat{W}, \, u^* T_W \otimes_{\mathscr{O}_{\hat{W}}} \mathscr{I}(\hat{S})) \end{split}$$

for any integer $p \geq 0$.

PROPOSITION 1.3.

$$H^p(\hat{W}, \mathscr{T}_{\hat{W}/W} \bigotimes_{\mathscr{O}_{\hat{W}}} \mathscr{I}(\hat{S})) = 0 \quad for \quad p \neq 1.$$

PROPOSITION 1.4. There exists a commutative diagram of exact sequences of cohomology groups



where $\Sigma \tilde{t}$ denotes the inverse image of Σt by the map $\lambda: X \to S$.

In general, let Y be a compact complex manifold, Z a submanifold of Y, $f: \hat{Y} \to Y$ the blowing up of Y along the non-singular center Z, \hat{Z} the proper inverse image of Z by f, and $g: \hat{Z} \to Z$ the restriction of the map $f: \hat{Y} \to Y$ to \hat{Z} . Then there exist the following exact sequences of sheaves on Y:

(1.3)
$$0 \longrightarrow T_{\hat{Y}} \longrightarrow f^* T_Y \longrightarrow \mathscr{T}_{\hat{Y}/Y} \longrightarrow 0 ;$$

(1.4)
$$0 \longrightarrow N_{\hat{z}/\hat{Y}} \longrightarrow g^* N_{Z/Y} \longrightarrow \mathscr{T}_{\hat{Y}/Y} \longrightarrow 0 ;$$

from which follows the long exact sequence of cohomology groups:

$$(1.5) \longrightarrow H^{p}(\hat{Y}, T_{\hat{Y}}) \longrightarrow H^{p}(Y, T_{Y}) \longrightarrow H^{p}(Z, N_{Z/Y}) \longrightarrow H^{p+1}(\hat{Y}, T_{\hat{Y}}) \longrightarrow H^{p}(\hat{Y}, T_{\hat{Y}}$$

(cf. [11], [13, Corollary (1.2)]). Furthermore, we have an isomorphism

(1.6)
$$H^{p}(Y, T_{Y}(\log Z)) \simeq H^{p}(\hat{Y}, T_{\hat{Y}}(\log Z))$$

for any non-negative integer p (cf. [13, Proposition (1.3)]). These facts will also be used in the following.

THEOREM 1.1. In the same situation as above, we have the following: (a) If $h^{1}(W, T_{W}) = h^{1}(W, \Omega^{1}_{W}([S + K_{W}] - \Delta)) = 0$, then the connecting homomorphism $\delta: H^{0}(S, \Phi_{S}) \to H^{1}(X, T_{X})$ is surjective;

(b) In addition to (a), suppose $h^{0}(X, T_{x}) = 0$. Then we have

$$h^{1}(X, T_{X}) = h^{0}(S, \Phi_{S}) - h^{0}(W, T_{W}) + h^{0}(W, T_{W}(\log S)) - h^{2}(W, \Omega^{1}_{W}([S + K_{W}] - \Delta))$$
.

PROOF. (a) We consider the following diagram of exact sequences of cohomology groups:

$$(1.7) \qquad \begin{array}{c} 0 \\ \downarrow \\ H^{0}(\hat{S}, T_{\hat{S}}) \\ \downarrow \\ H^{0}(X, T_{X}) \\ \downarrow \\ H^{0}(X, T_{X}) \\ \downarrow \\ g_{1} \\ \downarrow \\ f_{1} \\ \downarrow \\ g_{1} \\ \downarrow \\ g_{2} \\ \downarrow \\ g_{3} \\ \downarrow \\ g_{4} \\ \downarrow \\ g_{5} \\ H^{1}(\hat{W}, T_{\hat{W}}(\log \hat{S})) \xrightarrow{g_{5}} H^{1}(\hat{S}, T_{\hat{S}}) \xrightarrow{g_{2}} H^{2}(\hat{W}, T_{\hat{W}}(-\hat{S})) \xrightarrow{g_{4}} H^{2}(\hat{W}, T_{\hat{W}}(\log \hat{S})) \rightarrow \\ \downarrow \\ g_{7} \\ \downarrow \\ g_{7} \\ \downarrow \\ g_{6} \\ H^{1}(X, T_{X}) \\ \downarrow \\ 0 \\ \end{array} \right)$$

Here we obtain the vertical exact sequence by setting $\hat{Y} = \hat{S}$, Y = X, $Z = \Sigma \tilde{t}$ in (1.5); the horizontal exact sequence is the one associated to the vertical short exact sequence of sheaves on the left hand side in the diagram (1.2); and g_3 , g_7 are defined by $g_3 := g_2 \circ g_1$, and $g_7 := g_6 \circ g_5$, respectively.

First, we prove the surjectivity of the map g_3 under the assumption $h^1(W, \mathcal{Q}^1_W([S + K_W] - \Delta)) = 0$, which is the essential part of the proof of (a). Setting $\hat{Y} = \hat{S}$, Y = X and $Z = \Sigma \tilde{t}$ in (1.3) and (1.4), we obtain

$$(1.8) 0 \longrightarrow T_{\hat{s}} \longrightarrow \mu^* T_x \longrightarrow \mathscr{T}_{\hat{s}/x} \longrightarrow 0$$

$$(1.9) 0 \longrightarrow N_{\Sigma \tilde{t}/\hat{s}} \longrightarrow \mu^* N_{\Sigma \tilde{t}/x} \longrightarrow \mathscr{T}_{\hat{s}/x} \longrightarrow 0 ,$$

where $\Sigma \tilde{t}$ denotes the pull-back of $\Sigma \tilde{t}$ by the map $\mu: \hat{S} \to X$. Since $\mu: \hat{S} \to X$ is a blowing up, taking the direct images of (1.8) and (1.9) by the map μ , we obtain

$$(1.10) 0 \longrightarrow \mu_* T_{\hat{s}} \longrightarrow T_x \longrightarrow \mu_* \mathscr{T}_{\hat{s}/x} \longrightarrow 0;$$

(1.11)
$$0 \longrightarrow N_{\Sigma \tilde{t}/X} \longrightarrow \mu_* \mathscr{T}_{\hat{s}/X} \longrightarrow 0 ;$$

(1.12)
$$R^{q}\mu_{*}\mathscr{T}_{\hat{s}/x} = 0 \quad \text{for} \quad q \ge 1$$

Then we get the following commutative diagram:

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(1.13)

$$\begin{array}{c}
H^{0}(\hat{S}, \mathscr{T}_{\hat{S}/X}) \xrightarrow{g_{11}} H^{1}(\hat{S}, T_{\hat{S}}) \\
\hat{I} g_{\theta} & \hat{I} g_{10} \\
H^{0}(X, \mu_{*}\mathscr{T}_{\hat{S}/X}) \xrightarrow{g_{12}} H^{1}(X, \mu_{*}T_{\hat{S}}) \\
\hat{I} g_{\theta} & \swarrow^{\mathcal{T}}_{g_{13}} \\
H^{0}(\Sigma \tilde{t}, N_{\Sigma \tilde{t}/X}) .
\end{array}$$

Here g_8 is the isomorphism derived from (1.11); g_9 is that derived from (1.12); g_{10} is the natural isomorphism resulting from the blowing up $\mu: \hat{S} \to X; g_{11}$ is the homomorphism derived from (1.8); g_{12} is the one derived from (1.10); and g_{13} is so defined that the above diagram commutes. The composite map $g_{11} \circ g_9 \circ g_8$ in (1.13) is nothing but the map $g_1: H^0(\Sigma \tilde{t}, N_{\Sigma \tilde{t}/X}) \to H^1(\hat{S}, T_{\hat{S}})$ in (1.7). Hence by the commutativity of (1.13) we have

$$(1.14) g_1 = g_{10} \circ g_{13} .$$

By the definition of the sheaf $\mathscr{T}_{\hat{s}/w}$, we have an exact sequence of sheaves

$$(1.15) 0 \longrightarrow T_{\hat{s}} \longrightarrow \phi^* T_w \longrightarrow \mathscr{T}_{\hat{s}/w} \longrightarrow 0 .$$

Taking the direct image of this by the blowing up $\mu: \hat{S} \to X$, we have

(1.16)
$$0 \longrightarrow \mu_* T_{\hat{s}} \longrightarrow \psi^* T_w \longrightarrow \mu_* \mathscr{T}_{\hat{s}/w} \longrightarrow 0 ;$$

(1.17)
$$R^{q}\mu_{*}\mathscr{T}_{\hat{s}/W}=0 \quad \text{for} \quad q \geq 1.$$

Fitting (1.16), (1.10) and (1.11) together, we have a diagram

$$(1.18) \begin{array}{c} 0 \\ \downarrow \\ 0 \longrightarrow \mu_* T_{\hat{s}} \longrightarrow \psi^* T_w \longrightarrow \mu_* \mathcal{T}_{\hat{s}/w} \longrightarrow 0 \\ \downarrow \\ 0 \longrightarrow T_x \longrightarrow \psi^* T_w \longrightarrow \mathcal{T}_{x/w} \longrightarrow 0 \\ \downarrow \\ 0 \longrightarrow N_{\Sigma \tilde{t}/x} \underbrace{\sim}_{\psi} \mu_* \mathcal{T}_{\hat{s}/x} \longrightarrow 0 \\ \downarrow \\ 0 \end{array}$$

where the second horizontal exact sequence results from the definition of the sheaf $\mathscr{T}_{x/w}$. Chasing this diagram in a usual manner, we obtain the following exact sequence of sheaves:

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(1.19)

$$\begin{array}{c}
0 \\
\downarrow \\
N_{\Sigma\tilde{t}/X} \\
\downarrow \\
0 \longrightarrow \mu_* \mathscr{T}_{\hat{S}/X} \longrightarrow \mu_* \mathscr{T}_{\hat{S}/W} \longrightarrow \mathscr{T}_{X/W} \longrightarrow 0 \\
\downarrow \\
0 \\
\downarrow \\
0 \\
\end{array}$$

Then we get the commutative diagram

Here g_{14} is the homomorphism of cohomology groups derived from (1.19); g_{15} and g_{17} are the ones derived from (1.16) and (1.15), respectively; g_{8} , g_{10} are the same as those in (1.13), the isomorphism $H^0(X, \mu_* \mathscr{T}_{\hat{S}/W}) \cong$ $H^0(\hat{S}, \mathscr{T}_{\hat{S}/W})$ in the middle is the one whose existence follows from (1.17); and g_{16} is so defined that the above diagram commutes. Then, taking into account how we derive (1.19) from (1.18), we can derive the following commutative diagram from (1.13) and (1.20):

(1.21)
$$\begin{array}{c} H^{0}(\Sigma \widetilde{t}, N_{\Sigma \widetilde{t}/X}) \xrightarrow{g_{13}} H^{1}(X, \mu_{*}T_{\widehat{s}}) \\ \downarrow g_{8} & \swarrow g_{12} & \uparrow g_{15} \\ H^{0}(X, \mu_{*}\mathscr{T}_{\widehat{s}/X}) \xrightarrow{g_{14}} H^{0}(X, \mu_{*}\mathscr{T}_{\widehat{s}/W}) \end{array} .$$

Using the assumption $h^1(W, \Omega^1_W([S + K_w] - \Delta)) = 0$, which is equivalent to $h^2(\hat{W}, u^*T_w \otimes_{\mathscr{O}_{\hat{W}}} \mathscr{I}(\hat{S})) = 0$ (cf. Proposition 1.2), we get the following commutative diagram by (1.2):

We note that the homomorphism $H^{0}(\hat{S}, \mathscr{T}_{\hat{s}/W}) \to H^{1}(\hat{S}, T_{\hat{s}})$ in this diagram

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is the same g_{17} in (1.20), because (1.15) is identical with the horizontal short exact sequence at the bottom in (1.2). As a consequence we have

$$\begin{aligned} g_3 &= g_2 \circ g_1 & \text{(by definition)} \\ &= g_2 \circ (g_{10} \circ g_{13}) & \text{((1.14))} \\ &= g_2 \circ (g_{10} \circ (g_{15} \circ g_{14} \circ g_8)) & \text{((1.21))} \\ &= g_2 \circ (g_{17} \circ g_{16}) & \text{((1.20))} \\ &= (g_{19} \circ g_{18}) \circ g_{16} & \text{((1.22))} . \end{aligned}$$

The composite map $g_{18} \circ g_{16}$ is nothing but the isomorphism $H^0(\Sigma \tilde{t}, N_{\Sigma \tilde{t}/X}) \to H^1(\hat{W}, \mathscr{T}_{\hat{W}/W} \otimes_{\mathscr{C}_{\hat{W}}} \mathscr{I}(\hat{S}))$ in Proposition 1.4, and g_{19} is surjective. Therefore we conclude that g_3 is surjective as desired.

The surjectivity of the map g_3 implies that of g_7 in (1.7). If $h^1(W, T_W) = 0$, the surjectivity of g_7 implies that of the connecting homomorphism $\delta: H^0(S, \Phi_S) \to H^1(X, T_X)$. Indeed, as shown in [13], the connecting homomorphism δ is identical with the composite of the homomorphisms

$$(1.23) H^{1}(S, \Phi_{S}) \longrightarrow H^{1}(W, T_{W}(\log S)) \xrightarrow{\sim} H^{1}(\hat{W}, T_{\hat{W}}(\log \hat{S}))$$

and g_7 in (1.7), where the first homomorphism in (1.23) is the one derived from the exact sequence of sheaves

$$(1.24) 0 \longrightarrow T_w(\log S) \longrightarrow T_w \longrightarrow \Phi_s \longrightarrow 0$$

(cf. [13, (2.5)], [12, Proposition (1.2)]). If $h^1(W, T_W) = 0$, the first homomorphism in (1.23) is surjective. Therefore the connecting homomorphism δ is surjective. This completes the proof of (a).

(b) Besides the conditions

$$h^{\scriptscriptstyle 1}({\it W}, {\it T}_{\it W}) = h^{\scriptscriptstyle 1}({\it W}, {\it \Omega}^{\scriptscriptstyle 1}_{{\scriptscriptstyle W}}([S + {\it K}_{\it W}] - {\it \Delta})) = 0$$
 ,

we assume $h^{0}(X, T_{x}) = 0$. Then by the vertical exact sequence in (1.7), we have

(1.25)
$$h^{1}(X, T_{X}) = h^{1}(\hat{S}, T_{\hat{S}}) - h^{0}(\Sigma \tilde{t}, N_{\Sigma \tilde{t}/X})$$
$$= h^{1}(\hat{S}, T_{\hat{S}}) - h^{1}(\hat{W}, \mathscr{T}_{\hat{W}/W} \otimes_{\mathscr{C}_{\hat{w}}} \mathscr{I}(\hat{S})),$$

where the second equality follows from Proposition 1.4. By the horizontal exact sequence in (1.7), we have

$$(1.26) \quad h^{\scriptscriptstyle 1}(\widehat{S}, \ T_{\widehat{s}}) = h^{\scriptscriptstyle 2}(\widehat{W}, \ T_{\widehat{w}}(-\widehat{S})) + h^{\scriptscriptstyle 1}(\widehat{W}, \ T_{\widehat{w}}(\log \widehat{S})) - h^{\scriptscriptstyle 1}(\widehat{W}, \ T_{\widehat{w}}(-\widehat{S})) \ ,$$

where we use the fact that g_4 in (1.7) is the zero map because of the surjectivity of g_3 . Since $h^0(\hat{W}, \mathscr{T}_{\hat{W}/W} \otimes_{\mathscr{O}_{\hat{W}}} \mathscr{I}(\hat{S})) = 0$ (cf. Proposition 1.3) and $h^2(\hat{W}, u^*T_W \otimes_{\mathscr{O}_{\hat{W}}} \mathscr{I}(\hat{S})) = 0$ under the assumption $h^1(W, \Omega^1_W([S + K_W] - W))$

 (Δ) = 0 (cf. Proposition 1.2), by the long exact sequence of cohomology groups associated to the short exact sequence of sheaves at the top in (1.2), we have

$$(1.27) \quad h^{1}(\hat{W}, \mathcal{J}_{\hat{W}/W} \otimes_{\mathcal{O}_{\hat{W}}} \mathcal{J}(\hat{S})) \\ = h^{2}(\hat{W}, T_{\hat{W}}(-\hat{S})) + h^{1}(\hat{W}, u^{*}T_{W} \otimes_{\mathcal{O}_{\hat{W}}} \mathcal{J}(\hat{S})) - h^{1}(\hat{W}, T_{\hat{W}}(-\hat{S})) \\ = h^{2}(\hat{W}, T_{\hat{W}}(-\hat{S})) + h^{2}(W, \Omega^{1}_{W}([S + K_{W}] - \Delta)) - h^{1}(\hat{W}, T_{\hat{W}}(-\hat{S})) ,$$

where the second equality follows from Proposition 1.2. Substituting (1.26) and (1.27) into (1.25), we have

(1.28)
$$h^{1}(X, T_{x}) = h^{1}(\hat{W}, T_{\hat{W}}(\log \hat{S})) - h^{2}(W, \Omega^{1}_{W}([S + K_{W}] - \Delta))$$

= $h^{1}(W, T_{W}(\log S)) - h^{2}(W, \Omega^{1}_{W}([S + K_{W}] - \Delta))$

where the second equality follows from (1.6). By (1.24) we have

$$(1.29) h^{1}(W, T_{W}(\log S)) = h^{0}(S, \Phi_{S}) - h^{0}(W, T_{W}) + h^{0}(W, T_{W}(\log S))$$

because $h^{1}(W, T_{W}) = 0$ by hypothesis. Then, by (1.28) and (1.29), we obtain the equality in (b). q.e.d.

For the terminology in the following corollary, we refer to [7] and [6].

COROLLARY 1.1. Besides the conditions

$$h^{1}(W, T_{W}) = h^{1}(W, \Omega^{1}_{W}([S + K_{W}] - \Delta)) = h^{0}(X, T_{X}) = 0$$

suppose that S belongs to an analytic family $\mathscr{S} = \bigcup_{t \in \mathcal{M}} S_t$ of surfaces with ordinary singularities in W whose parameter space M is nonsingular, and whose characteristic map

$$\sigma: T_0(M) \to H^0(S, \Phi_s)$$

at the point $0 \in M$ with $S_0 = S$ is surjective. Then the Kuranishi family of deformations of the complex structure of X is non-singular, and the number m(X) of moduli of X is given by

$$\begin{split} m(X) &= h^{1}(X, \ T_{X}) \\ &= h^{0}(S, \varPhi_{S}) - h^{0}(W, \ T_{W}) + h^{0}(W, \ T_{W}(\log S)) - h^{2}(W, \ \varOmega_{W}^{1}([S + K_{W}] - \varDelta)) \;. \end{split}$$

PROOF. The normalizations X_t of S_t for $t \in M$ describe a family $\mathscr{X} = \bigcup_{t \in M} X_t$ of deformations of the complex structure of $X = X_0$. The characteristic maps of the families $\mathscr{S} = \bigcup_{t \in M} S_t$ and $\mathscr{X} = \bigcup_{t \in M} X_t$ at $0 \in M$ are related as



where δ is the so-called connecting homomorphism (cf. [3]). By Theorem 1.1 (a), δ is surjective, hence the characteristic map ρ is also surjective. Then, from the family $\mathscr{H} = \bigcup_{t \in M} X_t$, we can derive a family $\mathscr{H}' = \bigcup_{t \in M'} X_t$ of deformations of $X = X_0$, $0 \in M'$ such that M' is non-singular and the characteristic map ρ : $T_0(M') \to H^1(X, T_X)$ is bijective. From the condition $H^0(X, T_X) = 0$ it follows that dim $H^1(X_t, T_{X_t})$ is independent on t, provided that t is sufficiently close to 0. Then the characteristic map ρ_i : $T_i(M') \to$ $H^1(X_t, T_{X_t})$ is bijective at any point $t \in M'$ sufficiently close to 0. Therefore we conclude that $\mathscr{H}' = \bigcup_{t \in M'} X_t$ is the Kuranishi family of deformations of the complex structure of X. The formula for the number m(X) of moduli follows from Theorem 1.1 (b). q.e.d.

The following theorem will be used in $\S 2$ to compute the number of moduli of certain algebraic surfaces.

THEOREM 1.2. Let W, S and X be the same as in the foregoing. If S is regular, i.e., $h^{i}(S, \Phi_{s}) = 0$ by definition, and if

$$h^{2}(W, T_{W}) = h^{0}(W, \Omega^{1}_{W}([S + K_{W}] - \Delta)) = h^{0}(X, T_{X}) = 0$$
,

then we obtain $h^2(X, T_X) = 0$; hence the Kuranishi family of deformations of the complex structure of X is non-singular. Furthermore, the number m(X) of moduli is given by

$$m(X) = h^{\scriptscriptstyle 1}(X, \ T_{\scriptscriptstyle X}) = 10(p_a + 1) - c_{\scriptscriptstyle 1}^2$$
 ,

where p_a , c_1 denote the arithmetic genus and the first Chern class of X, respectively.

PROOF. Since $h^2(\hat{W}, \mathscr{T}_{\hat{W}/W} \otimes_{\mathscr{O}_{\hat{W}}} \mathscr{I}(\hat{S})) = h^3(\hat{W}, \mathscr{T}_{\hat{W}/W} \otimes_{\mathscr{O}_{\hat{W}}} \mathscr{I}(\hat{S})) = 0$ (cf. Proposition 1.3), by the horizontal short exact sequence at the top in (1.2) we have

$$h^{\mathfrak{d}}(\widehat{W}, T_{\widehat{W}}(-\widehat{S})) = h^{\mathfrak{d}}(\widehat{W}, u^{*}T_{W} \bigotimes_{\mathscr{O}_{\widehat{W}}} \mathscr{I}(\widehat{S}));$$

and we have

$$h^{\mathfrak{d}}(\widehat{W},\, u^{*}T_{W}\bigotimes_{\mathscr{O}_{\widehat{W}}}\mathscr{I}(\widehat{S}))=h^{\mathfrak{d}}(W,\, arDelta_{W}^{\mathfrak{l}}([S+K_{W}]-arDelta))$$

(cf. Proposition 1.2). But $h^{0}(W, \Omega_{W}^{1}([S + K_{W}] - \Delta)) = 0$ by hypothesis, hence

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(1.30) $h^{\mathfrak{s}}(\hat{W}, T_{\hat{w}}(-\hat{S})) = 0$.

Since $h^{1}(S, \Phi_{S}) = h^{2}(W, T_{W}) = 0$ by hypothesis, we have

$$h^{2}(W, T_{w}(\log S)) = 0$$

by (1.24). Hence

(1.31)
$$h^2(\hat{W}, T_{\hat{W}}(\log \hat{S})) = 0$$

by (1.6). Applying (1.30) and (1.31) to the long exact sequence of cohomology groups associated to the vertical short exact sequence of sheaves on the left hand side in (1.2), we have $h^2(\hat{S}, T_{\hat{s}}) = 0$. Therefore we have $h^2(X, T_X) = 0$. Thus X satisfies $h^0(X, T_X) = h^2(X, T_X) = 0$. As a consequence we conclude that the number m(X) of moduli of X is defined, and $m(X) = h^1(X, T_X)$ holds. The equality $h^1(X, T_X) = 10(p_a + 1) - 2c_1^2$ follows from the Riemann-Roch formula.

2. An example —surfaces of type (n, r_1, r_2, r_3) —. Throughout this section we denote the complex projective 3-space by P, and a point of P by $\xi = (\xi_0, \xi_1, \xi_2, \xi_3)$ in a fixed homogeneous coordinate system. We fix positive integers r_1, r_2, r_3 with $r_1 \ge r_2 \ge r_3$. Let S_1, S_2, S_3 be non-singular surfaces of respective orders r_1, r_2, r_3 in P, such that they intersect pairwise transversely, and are in general position at every point of $S_1 \cap S_2 \cap S_3$. We set

Let f_i (i = 1, 2, 3) be the homogeneous polynomial of degree r_i which defines the surface S_i . We choose and fix a positive integer $n \ge 2r_1 + 2r_2$. For any homogeneous polynomials A, B, C, and D of respective degrees $n - r_1 - r_2 - r_3, n - 2r_1 - 2r_2, n - 2r_2 - 2r_3, n - 2r_3 - 2r_1$, we consider a surface S defined by the equation

$$(2.1) f := A f_1 f_2 f_3 + B (f_1 f_2)^2 + C (f_2 f_3)^2 + D (f_3 f_1)^2 = 0 \; .$$

S is said to be *generic* if the following conditions are satisfied:

(1) S has only ordinary singularities and is non-singular outside of \varDelta ;

(2) the normalization X of S is a minimal algebraic surface of general type.

We note that S satisfies the condition (1) if A, B, C and D are chosen sufficiently general. Indeed, by *Bertini's theorem* S is non-singular outside of Δ for generic A, B, C and D. The fact that the singularities of S along Δ are ordinary for generic A, B, C and D is proved as follows: (i) Let $p \in \Delta$ be a point satisfying $f_1(p) = f_2(p) = f_3(p) = 0$. We may assume that $A(p)B(p)C(p)D(p) \neq 0$. We make the transformations of local coordinates

$$(f_1, f_2, f_3) \mapsto \left(\frac{A}{\sqrt{BD}} \frac{X}{1 + X^2 + Y^2 + Z^2 + XYZ} \right),$$

 $\frac{A}{\sqrt{BC}} \frac{Y}{1 + X^2 + Y^2 + Z^2 + XYZ}, \quad \frac{A}{\sqrt{CD}} \frac{Z}{1 + X^2 + Y^2 + Z^2 + XYZ} \right)$

and

$$(X + YZ, Y + ZX, Z + XY) \rightarrow (X', Y', Z')$$

successively in a neighborhood of p. Then the equation F = 0 is transformed to A'X'Y'Z' = 0, where A' is a non-vanishing factor. Namely, the point p is a triple point.

(ii) Let $p \in \Delta$ be a point at which all of f_i , i = 1, 2, 3, do not vanish. We may assume that $f_1(p) = f_2(p) = 0$ and $f_3(p) \neq 0$. We write F as

$$F = (Bf_2^2 + Df_3^2)f_1^2 + (Af_3)f_1f_2 + (Cf_3^2)f_2^2$$
 .

Since A, B, C and D are generic, we may assume that both $(Bf_2^2 + Df_3^2)$ and (Cf_3^2) do not vanish at p. Suppose $(Bf_2^2 + Df_3^2)(p) \neq 0$. Then F is written as

$$egin{aligned} F &= (Bf_2^2 + Df_3^2) \Big(f_1 + rac{Af_3/2 + \sqrt{(Af_3/2)^2 - (Bf_2^2 + Df_3^2)(Cf_3^2)}}{Bf_2^2 + Df_3^2} f_2 \Big) \ & imes \Big(f_1 + rac{Af_3/2 - \sqrt{(Af_3/2)^2 - (Bf_2^2 + Df_3^2)(Cf_3^2)}}{Bf_2^2 + Df_3^2} f_2 \Big) \end{aligned}$$

in a neighborhood of p.

(ii)_d If $(A^2/4 - DCf_3^2)(p) \neq 0$, then the transformation

$$egin{aligned} f_1 + rac{Af_3/2 + \sqrt{(Af_3/2)^2 - (Bf_2^2 + Df_3^2)(Cf_3^2)}}{Bf_2^2 + Df_3^2} f_2 &\mapsto X \ , \ f_1 + rac{Af_3/2 - \sqrt{(Af_3/2)^2 - (Bf_2^2 + Df_3^2)(Cf_3^2)}}{Bf_2^2 + Df_3^2} f_2 &\mapsto Y \end{aligned}$$

can be regarded as that of local coordinates. By this transformation the equation F = 0 is transformed to $(Bf_2^2 + Df_3^2)XY = 0$. Hence p is a double point.

(ii). If $(A^2/4 - DCf_3^2)(p) = 0$, we make the transformation of local coordinates

in a neighborhood of p. Then the equation F = 0 is transformed to

 $(Bf_2^2 + Df_3^2)(Z + \sqrt{X}Y)(Z - \sqrt{X}Y) = (Bf_2^2 + Df_3^2)(Z^2 - XY^2) = 0$.

Hence p is a cuspidal point.

Consequently, for generic A, B, C and D the surface S defined by F = 0 is a surface with ordinary singularities whose double curve is Δ . Furthermore, we can prove that the condition (2) is satisfied if $n \ge 1$

 $r_1 + r_2 + 4$ and *B*, *C*, *D* are chosen sufficiently general.

DEFINITION 2.1. We call the generic surface S in the complex projective 3-space P which is defined by an equation of the form (2.1) a surface of type (n, r_1, r_2, r_3) with ordinary singularities. The non-singular normalization X of the surface S is called a non-singular surface of type (n, r_1, r_2, r_3) .

Concerning a surface S of type (n, r_1, r_2, r_3) with ordinary singularities, we freely use the notation S_1 , S_2 , S_3 , f_1 , f_2 , f_3 and $\Delta = \Delta_1 + \Delta_2 + \Delta_3$ below. For brevity we use the notation $\mathcal{O}_P(k)$, $\mathcal{O}_P(k - \Delta)$ and $\mathcal{O}_P(k - 2\Delta)$ instead of $\mathcal{O}_P([kE])$, $\mathcal{O}_P([kE] - \Delta)$ and $\mathcal{O}_P([kE] - 2\Delta)$, respectively, where E is a hyperplane in P and k is an integer. Furthermore, we use the following notation:

- L_m : the vector space of homogeneous polynomials of degree m in $\xi_0, \xi_1, \xi_2, \xi_3$;
- $L_m(-\Delta)$: the linear subspace of L_m consisting of those homogeneous polynomials of L_m which vanish on Δ ;

$$C(m) := \dim_{\mathbb{C}} L_m = h^0(P, \mathcal{O}_P(m)) = (m+1)(m+2)(m+3)/6.$$

PROPOSITION 2.1. For any integer k there exists an exact sequence of sheaves

$$\begin{array}{l} 0 \to \mathscr{O}_{P}(k-r_{1}-r_{2}-r_{3})^{\oplus 2} \\ \xrightarrow{\beta} \mathscr{O}_{P}(k-r_{1}-r_{2}) \bigoplus \mathscr{O}_{P}(k-r_{2}-r_{3}) \bigoplus \mathscr{O}_{P}(k-r_{3}-r_{1}) \xrightarrow{\alpha} \mathscr{O}_{P}(k-d) \to 0 \end{array}$$

PROOF. The maps are defined as follows:

 $\alpha : (\phi_1, \phi_2, \phi_3) \mapsto f_1 f_2 \phi_1 + f_2 f_3 \phi_2 + f_3 f_1 \phi_3$

for $(\phi_1, \phi_2, \phi_3) \in \mathscr{O}_P(k - r_1 - r_2) \bigoplus \mathscr{O}_P(k - r_2 - r_3) \bigoplus \mathscr{O}_P(k - r_3 - r_1);$

$$\beta: (\psi_1, \psi_2) \mapsto (f_3\psi_1, f_1\psi_2, -f_2(\psi_1 + \psi_2))$$

for $(\psi_1, \psi_2) \in \mathcal{O}_P(k - r_1 - r_2 - r_3)^{\oplus 2}$, where we regard each f_i (i = 1, 2, 3) as a global cross-section of the sheaf $\mathcal{O}_P(r_i)$. The proof of exactness is a simple calculation. q.e.d.

PROPOSITION 2.2. For any integer k there exist exact sequences of sheaves

(a)
$$0 \rightarrow \mathcal{O}_{P}(k-r_{1}-r_{2}-r_{3}) \xrightarrow{\beta} \mathcal{O}_{P}(k-2\Delta)$$
$$\xrightarrow{\alpha} \mathcal{O}_{S_{1}}(k-2r_{2}-2r_{3}) \bigoplus \mathcal{O}_{S_{2}}(k-2r_{3}-2r_{1}) \bigoplus \mathcal{O}_{S_{3}}(k-2r_{1}-2r_{2}) \rightarrow 0;$$
(b)
$$0 \rightarrow \mathcal{O}_{S_{1}}(k-2r_{2}-2r_{3}) \bigoplus \mathcal{O}_{S_{2}}(k-2r_{3}-2r_{1}) \bigoplus \mathcal{O}_{S_{3}}(k-2r_{1}-2r_{2}) \rightarrow 0;$$

(b)
$$0 \to \mathcal{O}_{P}(k-2r_{i_{2}}-2r_{i_{3}}-r_{i_{1}}) \xrightarrow{r_{i_{1}}} \mathcal{O}_{P}(k-2r_{i_{2}}-2r_{i_{3}}) \xrightarrow{r_{i_{1}}} \mathcal{O}_{s_{i_{1}}}(k-2r_{i_{2}}-2r_{i_{3}}) \to 0$$

for any permutation (i_{1}, i_{2}, i_{3}) of $(1, 2, 3)$.

PROOF. (a) We set $\mathcal{O}_{S_i}(k-2\Delta) := \mathcal{O}_P(k-2\Delta)/\mathcal{O}_P(k-2\Delta-S_i)$ for i = 1, 2, 3, where $\mathcal{O}_P(k-2\Delta-S_i)$ denotes the subsheaf of $\mathcal{O}_P(k-2\Delta)$ consisting of germs of those local cross-sections of $\mathcal{O}_P(k-2\Delta)$ which vanish on S_i . Since $\Delta \cdot S_1 = \Delta_1 + \Delta_3$ and Δ_1, Δ_3 are defined on S_1 as the zero loci of homogeneous polynomials of respective degrees r_2, r_3 , we have

$$\mathscr{O}_{S_1}(k-2\varDelta) \cong \mathscr{O}_{S_1}(k-2r_2-2r_3)$$
 .

Similarly, we have

 $\mathcal{O}_{s_2}(k-2\varDelta) \cong \mathcal{O}_{s_2}(k-2r_3-2r_1)$ and $\mathcal{O}_{s_3}(k-2\varDelta) \cong \mathcal{O}_{s_3}(k-2r_1-2r_2)$. Taking these isomorphisms into account, we define α in the sequence (a) by

$$egin{aligned} \phi &\mapsto (\phi_{ert S_1}, \, \phi_{ert S_2}, \, \phi_{ert S_3}) \in \mathscr{O}_{S_1}(k-2 arDelta) \bigoplus \mathscr{O}_{S_2}(k-2 arDelta) \bigoplus \mathscr{O}_{S_3}(k-2 arDelta) \ &\simeq \mathscr{O}_{S_1}(k-2r_2-2r_3) \bigoplus \mathscr{O}_{S_2}(k-2r_3-2r_1) \bigoplus \mathscr{O}_{S_3}(k-2r_1-2r_2) \end{aligned}$$

for $\phi \in \mathcal{O}_P(k-2\Delta)$, where $\phi_{|S_i|}$ (i = 1, 2, 3) denotes the restriction to S_i . We define the map β by

$$\psi \mapsto (f_1 f_2 f_3) \psi$$
 for $\psi \in \mathscr{O}_P(k - r_1 - r_2 - r_3)$.

Then the exactness follows from simple calculation.

(b) We define the map R_{i_1} , $(i_1 = 1, 2, 3)$ in the sequence (b) by restriction to S_{i_1} , and the map γ_{i_1} by

$$\psi \mapsto f_i \psi$$
 for $\psi \in \mathscr{O}_P(k - 2r_{i_2} - 2r_{i_3} - r_{i_1})$.

Then, obviously the sequence (b) is exact.

For the double curve Δ of a surface of type (n, r_1, r_2, r_3) in P with ordinary singularities, we consider the sheaf $\sum_{i=1}^{3} N_{A_i}$ (direct sum) where

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q.e.d.

 N_{d_i} (i = 1, 2, 3) denotes the sheaf of normal vectors of Δ_i in P. The difference between $\mathcal{N}_d := T_P/T_P(\log \Delta)$ and $\sum_{i=1}^3 N_{d_i}$ is given by the following:

PROPOSITION 2.3. There exists a natural exact sequence of sheaves

$$0 \longrightarrow \mathscr{N}_{\mathcal{A}} \xrightarrow{\alpha} \sum_{i=1}^{s} N_{\mathcal{A}_{i}} \xrightarrow{\beta} \mathscr{T}_{\Sigma_{t}} \longrightarrow 0 ,$$

where $\mathscr{T}_{\Sigma t}$ is the sheaf with support Σt , the set of triple points of S $(= \varDelta_1 \cap \varDelta_2 \cap \varDelta_3)$, and whose stalk at each point of Σt is isomorphic to \mathbb{C}^3 .

PROOF. It suffices to prove the exactness at a triple point $p \in \Sigma t$. Let (x, y, z) be a system of local coordinates in a sufficiently small polycylindrical neighborhood of p in P such that

(1) S is defined by xyz = 0,

(2) $\Delta_1, \Delta_2, \Delta_3$ are defined by y=z=0, z=x=0, x=y=0, respectively. Then we define $\alpha: \mathcal{N}_d \to \sum_{i=1}^3 N_{\Delta_i}$ at p by

$$[\theta] \mapsto \left(\left(b \frac{\partial}{\partial y} + c \frac{\partial}{\partial z} \right)_{|A_1}, \left(c \frac{\partial}{\partial z} + a \frac{\partial}{\partial x} \right)_{|A_2}, \left(a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y} \right)_{|A_3} \right)$$

for $\theta = a(\partial/\partial x) + b(\partial/\partial y) + c(\partial/\partial z) \in T_P(\log \Delta)_p$, where $[\theta]$ denotes the local holomorphic cross-section of the sheaf \mathcal{N}_A represented by θ , and $|\Delta_i|$ (i = 1, 2, 3) denotes the restriction to Δ_i . It is easy to see that this definition does not depend on the choice of a representative θ . We define the sheaf homomorphism $\beta: \sum_{i=1}^{3} N_{\Delta_i} \to C^3$ at p by

$$\phi\mapsto (\phi_2(0)-\psi_1(0)\;,\;\;\psi_2(0)-\eta_1(0)\;,\;\;\eta_2(0)-\phi_1(0))$$

for

$$\phi = \left(\phi_1(x)rac{\partial}{\partial y} + \phi_2(x)rac{\partial}{\partial z}
ight, \quad \psi_1(y)rac{\partial}{\partial z} + \psi_2(y)rac{\partial}{\partial x}
ight,
onumber \ \eta_1(z)rac{\partial}{\partial x} + \eta_2(z)rac{\partial}{\partial y}
ight)_p \in \left(\sum\limits_{i=1}^3 N_{d_i}
ight)_p.$$

q.e.d.

The exactness follows from simple calculation.

COROLLARY 2.1.

dim
$$H^{0}(\Delta, \mathcal{M}_{\Delta}) = C(r_{1}) + C(r_{2}) + C(r_{3}) - C(r_{1} - r_{2} - r_{3}) - 3$$
.

PROOF. By the exact sequence of sheaves in Proposition 2.3, we get the long exact sequence of cohomology groups

(2.2)
$$0 \longrightarrow H^{0}(\varDelta, \mathscr{N}_{d}) \xrightarrow{\hat{\alpha}} \sum_{i=1}^{3} H^{0}(\varDelta_{i}, N_{\varDelta_{i}}) \xrightarrow{\hat{\beta}} C^{3}_{\Sigma_{t}} \longrightarrow \cdots$$

Note that we can identify $\sum_{i=1}^{3} H^{0}(\mathcal{A}_{i}, N_{\mathcal{A}_{i}})$ with the vector space

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$$\sum\limits_{i=1}^{3} \left\{ (L_{r_i} / L_{r_i} (-\varDelta_i)) \bigoplus (L_{r_{i+1}} / L_{r_{i+1}} (-\varDelta_i)) \right\}$$
 ,

where we set $r_4 = r_1$. We denote this vector space by V. For $\phi \in L_r$ $(r = r_1, r_2, r_3)$ we denote by $\phi_{|\mathcal{A}_i|}$ (i = 1, 2, 3) the corresponding element of $L_r/L_r(-\mathcal{A}_i)$. Then the above map

$$\widehat{\beta} \colon \sum_{i=1}^{3} H^{0}(\varDelta_{i}, N_{\varDelta_{i}}) \to C^{3}_{\Sigma t}$$

is given by

$$\begin{array}{l} (\phi_{1|d_1} \bigoplus \phi_{2|d_1}) \bigoplus (\psi_{1|d_2} \bigoplus \psi_{2|d_2}) \bigoplus (\eta_{1|d_3} \bigoplus \eta_{2|d_3}) \\ \mapsto \sum_{p \in \mathfrak{T}_t} (\phi_2(p) - \psi_1(p), \ \psi_2(p) - \eta_1(p), \ \eta_2(p) - \phi_1(p)) \in \sum_{p \in \mathfrak{T}_t} C_p^3 \ , \end{array}$$

where $\phi_1, \eta_2 \in L_{r_1}, \phi_2, \psi_1 \in L_{r_2}, \psi_2, \eta_1 \in L_{r_3}$. Therefore by the exactness of (2.2) we can identify $H^{\circ}(\Delta, \mathcal{N}_{\Delta})$ with the vector subspace V_1 of V consisting of the elements

$$(\phi_{1|d_1} \bigoplus \phi_{2|d_1}) \bigoplus (\psi_{1|d_2} \bigoplus \psi_{2|d_2}) \bigoplus (\eta_{1|d_3} \bigoplus \eta_{2|d_3})$$

of V which satisfy

$$(2.3) \quad \phi_2(p) - \psi_1(p) = \psi_2(p) - \eta_1(p) = \eta_2(p) - \phi_1(p) = 0 \quad \text{for any} \quad p \in \Sigma t \; .$$

We note that Σt coincides with the common zero locus of the homogeneous polynomials f_1, f_2, f_3 , and any point $p \in \Sigma t$ has multiplicity one. Then, in view of (2.3) we can apply generalized Max Nöether's theorem in [4] to the polynomials $\phi_2 - \psi_1, \psi_2 - \eta_1, \eta_2 - \phi_1$. As a result we infer that $\phi_2 - \psi_1$, $\psi_2 - \eta_1, \eta_2 - \phi_1$ are of the form

$$egin{array}{lll} \phi_2-\psi_1=a_1f_1+a_2f_2+a_3f_3\ ,\ \psi_2-\eta_1=b_1f_1+b_2f_2+b_3f_3\ ,\ \eta_2-\phi_1=c_1f_1+c_2f_2+c_3f_3\ , \end{array}$$

where a_1, \dots, c_3 are homogeneous polynomials of appropriate degrees. We set

$$egin{array}{lll} arPsi_{1} &= \phi_{2} - a_{1}f_{1} = \psi_{1} + a_{2}f_{2} + a_{3}f_{3} \;, \ arPsi_{2} &:= \psi_{2} - b_{2}f_{2} = \eta_{1} + b_{1}f_{1} + b_{3}f_{3} \;, \ H &:= \eta_{2} - c_{3}f_{3} = \phi_{1} + c_{1}f_{1} + c_{2}f_{2} \;. \end{array}$$

We define

$$(2.4) \qquad \qquad \hat{\gamma}: L_{r_1} \bigoplus L_{r_2} \bigoplus L_{r_3} \to V$$

by $(\phi, \psi, \eta) \mapsto (\phi_{|d_1} \bigoplus \psi_{|d_1}) \bigoplus (\psi_{|d_2} \bigoplus \eta_{|d_2}) \bigoplus (\eta_{|d_3} \bigoplus \phi_{|d_3})$, for $(\phi, \psi, \eta) \in L_{r_1} \bigoplus L_{r_2} \bigoplus L_{r_3}$. Then we have

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 $\hat{\gamma}(H, \, arPsi, \, arPsi) = (\phi_{1|d_1} \bigoplus \phi_{2|d_1}) \bigoplus (\psi_{1|d_2} \bigoplus \psi_{2|d_2}) \bigoplus (\eta_{1|d_3} \bigoplus \eta_{2|d_3}) \; .$

This shows image $\hat{\gamma} = V_1$. Therefore we have

 $\dim H^{\scriptscriptstyle 0}(\varDelta, \, \mathscr{N}_{\varDelta}) = \dim V_{\scriptscriptstyle 1} = \dim \operatorname{image} \hat{\gamma}$

 $= \dim(L_{r_1} \bigoplus L_{r_2} \bigoplus L_{r_3}) - \dim \ker \hat{\gamma} = C(r_1) + C(r_2) + C(r_3) - \dim \ker \hat{\gamma} .$

Since

$$\ker \hat{\gamma} = \{ (\lambda f_1 + A f_2 f_3, \ \mu f_2, \ c f_3) | \lambda, \ \mu, \ c \in C, \ A \in L_{r_1 - r_2 - r_3} \}$$
 ,

q.e.d.

we have dim ker $\hat{\gamma} = C(r_1 - r_2 - r_3) + 3.$

PROPOSITION 2.4. Let S be a surface of type (n, r_1, r_2, r_3) with ordinary singularities. Then S belongs to a maximal analytic family $\mathscr{S} = \bigcup_{t \in M} S_t$ of surfaces in P with ordinary singularities such that

(a) the parameter space M is non-singular and

(b) the characteristic map

$$\sigma_t^{\mathscr{S}}: T_t(M) \to H^0(S_t, \Phi_{S_t})$$

is surjective at any point $t \in M$.

PROOF. We define $m_0(\varDelta)$ to be the smallest integer m_0 such that

 $H^{1}(P, \mathscr{O}_{P}(k-2\varDelta)) = 0 \text{ for } k \geq m_{0}.$

By Theorem 8 in [9] it suffices to show that

(i) $n \ge m_0(\Delta)$ and

(ii) \varDelta belongs to an analytic family $\mathfrak{f} = \bigcup_{t \in M_1} \varDelta_t$ of locally trivial displacements of \varDelta in P such that

(a') the parameter space M_1 is non-singular,

(b') the characteristic map $\sigma^{\dagger}: T_0(M_1) \to H^0(\varDelta, \mathcal{M}_d)$ at the point $0 \in M_1$ with $\varDelta_0 = \varDelta$ is surjective.

Strictly speaking, Theorem 8 in [9] treats only the case where a double curve Δ is non-singular, hence we can not apply that theorem directly to our case. But, as shown in [14], a *characteristic map* $\sigma^{t}: T_{0}(M) \to H^{0}(\Delta, \mathscr{N}_{4})$ can also be defined, even if Δ is singular. By direct calculation we can easily prove that for an analytic family $\mathscr{S} = \bigcup_{t \in M} S_{t}$ of surfaces with ordinary singularities in P such that $S = S_{0}$ for $0 \in M$, the following diagram is commutative:



where σ^{t} is the characteristic map at the point $0 \in M$ of the family $f = \bigcup_{t \in M} \Delta_{t}$ of the double curve Δ_{t} of each S_{t} , $t \in M$, and # is the map induced by the fundamental exact sequence

$$(2.5) 0 \longrightarrow \mathcal{O}_{\mathcal{S}}([S] - 2\varDelta) \longrightarrow \Phi_{\mathcal{S}} \longrightarrow \mathcal{N}_{\mathcal{A}} \longrightarrow 0$$

(cf. [9, Theorem 4] and [12, Proposition (1.1)]). Therefore, by the same arguments as in the proof of Theorem 8 in [9], we can generalize that theorem to the case where a double curve \varDelta may be singular.

By Proposition 2.2 and Bott's theorem concerning the cohomology groups $H^{p}(\mathbf{P}^{n}, \mathcal{Q}_{P^{n}}^{q}(k))$ in [1], we obtain

$$H^{1}(P, \mathscr{O}_{P}(k-2\varDelta)) = 0$$
 for any integer k.

Hence it follows that $m_0(\varDelta) = -\infty$, and so (i) holds. (ii) is proved as follows:

Let $\Delta_1, \Delta_2, \Delta_3, f_1, f_2, f_3$ be the same as before. In the following we regard a homogeneous polynomial of degree k in variables $\xi_0, \xi_1, \xi_2, \xi_3$ as a point of $C^{C(k)}$ by assigning its coefficients. For i = 1, 2, 3 we denote by $f_i(\xi, t_i)$ the homogeneous polynomials of degree r_i in the variables $\xi_0, \xi_1, \xi_2, \xi_3$ which corresponds to a point $t_i \in C^{C(r_i)}$. We set

$$egin{aligned} &\widehat{f}_i(\xi,\,t_i):=f_i(\xi,\,t_i)+f_i(\xi) & (i=1,\,2,\,3)\ ;\ &N:=C(r_1)+C(r_2)+C(r_3)\ ;\ &M_1:=\{t=(t_1,\,t_2,\,t_3)\in C^N\,|\,\,|\,t|$$

We denote by $\varpi: \mathfrak{f} \to M_1$ the restriction of the canonical projection $\Pr_{M_1}: P \times M_1 \to M_1$ to \mathfrak{f} . Then, in our terminology $\varpi: \mathfrak{f} \to M_1$ is an analytic family of *locally trivial displacements* of the double curve Δ of S in P (cf. [14, Definition 8.1]) provided that the positive number ε is sufficiently small. We claim that the characteristic map $\sigma': T_0(M_1) \to H^0(\Delta, \mathcal{N}_d)$ at the origin $0 \in M_1$ of the family $\varpi: \mathfrak{f} \to M_1$ is surjective. In order to prove this we consider the same vector space V as in the proof of Corollary 2.1. Then, as shown there, we can identify $H^0(\Delta, \mathcal{N}_d)$ with a vector subspace V_1 of V. Under this identification we wish to clarify how the characteristic map $\sigma': T_0(M_1) \to V_1$ is described explicitly. We take an open covering $\{U_\alpha\}_{0\leq\alpha\leq 3}$ of P, where $U_\alpha:=\{\xi=(\xi_0, \xi_1, \xi_2, \xi_3)\in P \mid \xi_\alpha\neq 0\}$. We set

$$X^lpha_i(t) = \widetilde{f}_i(\xi/\xi_lpha,\,t) \quad ext{for} \quad 1 \leqq i \leqq 3 \;, \;\; 0 \leqq lpha \leqq 3 \;.$$

Then $(X_1^{\alpha}(t), X_2^{\alpha}(t), X_3^{\alpha}(t), t)$ may be regarded as a system of local coordinates on $U \times M_1$. For any $(\partial/\partial t) \in T_0(M_1)$ we set

$$heta_{lpha} := \sum_{i=1}^{3} rac{\partial X_{i}^{lpha}}{\partial t}(0) rac{\partial}{\partial X_{i}^{lpha}(0)} \qquad (0 \leq lpha \leq 3) \; .$$

Then by definition

(2.6)
$$\sigma^{\mathfrak{f}}\left(\frac{\partial}{\partial t}\right) = \{Q_{\alpha}(\theta_{\alpha})\}_{0 \leq \alpha \leq 3} \in H^{0}(\varDelta, \mathcal{N}_{\varDelta}),$$

where Q_{α} denotes the map $\Gamma(U_{\alpha}, T_{P}) \rightarrow \Gamma(U_{\alpha} \cap A, \mathcal{N}_{d})$ induced by the natural projection of sheaves $T_{P} \rightarrow \mathcal{N}_{d}$. By the definition of $\alpha: \mathcal{N}_{d} \rightarrow \sum_{i=1}^{3} N_{d_{i}}$ in Proposition 2.3, the element of $V_{1} \subset V$ which corresponds to the one in 2.6 by the identifications $\sum_{i=1}^{3} H^{0}(A_{i}, N_{d_{i}}) = V$ and $H^{0}(A, \mathcal{N}_{d}) = V_{1}$ is

(2.7)
$$\begin{pmatrix} \frac{\partial \widetilde{f}_1}{\partial t} (\xi, 0)_{|d_1} \bigoplus \frac{\partial \widetilde{f}_2}{\partial t} (\xi, 0)_{|d_1} \end{pmatrix} \bigoplus \begin{pmatrix} \frac{\partial \widetilde{f}_2}{\partial t} (\xi, 0)_{|d_2} \bigoplus \frac{\partial \widetilde{f}_3}{\partial t} (\xi, 0)_{|d_2} \end{pmatrix} \\ \bigoplus \begin{pmatrix} \frac{\partial \widetilde{f}_3}{\partial t} (\xi, 0)_{|d_3} \bigoplus \frac{\partial \widetilde{f}_1}{\partial t} (\xi, 0)_{|d_3} \end{pmatrix},$$

since $X_i^{\alpha}(t) = \tilde{f}_i(\xi/\xi_{\alpha}, t)$ for $1 \leq i \leq 3$, $0 \leq \alpha \leq 3$. This element is nothing but $\sigma^i(\partial/\partial t)$ if we consider the characteristic map σ^i to be one from $T_0(M)$ to V_1 . Suppose an element $v \in V_1$ is given. Then, as shown in the proof of Corollary 2.1 there exists an element $(H, \Phi, \Psi) \in L_{r_1} \bigoplus L_{r_2} \bigoplus L_{r_3}$ such that $\hat{\gamma}((H, \Phi, \Psi)) = v$, where $\hat{\gamma}: L_{r_1} \bigoplus L_{r_2} \bigoplus L_{r_3} \to V$ is the same map as in (2.4). We can choose tangent vectors $(\partial/\partial t_1) \in T_0(C^{\mathcal{C}(r_1)})$, $(\partial/\partial t_2) \in T_0(C^{\mathcal{C}(r_2)})$, $(\partial/\partial t_3) \in T_0(C^{\mathcal{C}(r_3)})$ so that

$$rac{\partial \widetilde{f}_1}{\partial t_1}(\xi,0)=H(\xi)\ , \ \ rac{\partial \widetilde{f}_2}{\partial t_2}(\xi,\,0)=arPhi(\xi)\ , \ \ rac{\partial \widetilde{f}_3}{\partial t_3}(\xi,\,0)=arPhi(\xi)\ .$$

We set

$$rac{\partial}{\partial t}=rac{\partial}{\partial t_1}+rac{\partial}{\partial t_2}+rac{\partial}{\partial t_3}\in T_{\scriptscriptstyle 0}(M_{\scriptscriptstyle 1})=\sum_{i=1}^3\,T_{\scriptscriptstyle 0}(C^{_{\mathcal{C}(r_i)})})\;.$$

Then, by (2.7) we have

$$\sigma^{\mathfrak{f}}\left(rac{\partial}{\partial t}
ight) = \hat{\gamma}((H, \, arPsi, \, arPsi)) = v \; .$$

Consequently, we conclude that the characteristic map $\sigma': T_0(M) \to H^0(\Delta, \mathcal{N}_A)$ is surjective. This completes the proof of Proposition 2.4.

As in [9], a surface S with ordinary singularities in a compact threefold W is said to be *regular* if $H^1(S, \Phi_S) = 0$. Concerning the regularity of a surface of type (n, r_1, r_2, r_3) in P with ordinary singularities, we obtain the following:

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PROPOSITION 2.5. Let S be a surface of type (n, r_1, r_2, r_3) with ordinary singularities. We assume that $n \ge 2r_1 + 2r_2 + r_3 - 3$. Then S is regular if and only if both of the following two conditions are satisfied:

(a) $r_1 \leq 3;$

(b) $C(r_1) + C(r_2) + C(r_3) + C(r_1 - r_2 - r_3) - C(r_1 - r_2) - C(r_1 - r_3) - C(r_2 - r_3) - \delta_{r_1, r_2} - \delta_{r_2, r_3} - \delta_{r_3, r_1} - 3 = 3r_1r_2r_3.$

PROOF. By Proposition 2.2, *Bott's theorem* and the exact sequence of sheaves

$$(2.8) 0 \longrightarrow \mathcal{O}_p \longrightarrow \mathcal{O}_P([S] - 2\varDelta) \longrightarrow \mathcal{O}_S([S] - 2\varDelta) \longrightarrow 0$$

with $\mathscr{O}_{S}([S]-2\varDelta) := \mathscr{O}_{P}([S]-2\varDelta)/\mathscr{O}_{P}([S]-S)$, we have $h^{\nu}(S, \mathscr{O}_{S}([S]-2\varDelta))=0$ for $\nu = 1, 2$. Then $H^{1}(S, \varPhi_{S}) \simeq H^{1}(\varDelta, \mathscr{N}_{\varDelta})$ by (2.5). Hence S is regular if and only if $H^{1}(\varDelta, \mathscr{N}_{\varDelta}) = 0$. On the other hand, by Proposition 2.3 there exists an exact sequence of cohomogy groups

$$(2.9) \qquad 0 \longrightarrow H^{0}(\varDelta, \mathscr{N}_{\varDelta}) \xrightarrow{\hat{\alpha}} \sum_{i=1}^{3} H^{0}(\varDelta_{i}, \mathscr{N}_{\varDelta_{i}}) \xrightarrow{\hat{\beta}} C_{\varSigma^{1}}^{3}$$
$$\longrightarrow H^{1}(\varDelta, \mathscr{N}_{\varDelta}) \longrightarrow \sum_{i=1}^{3} H^{1}(\varDelta_{i}, N_{\varDelta_{i}}) \longrightarrow 0$$

From this it follows that $H^{1}(\Delta, \mathcal{M}_{d}) = 0$ if and only if both of the following two conditions are satisfied:

(a') $H^{1}(\Delta_{i}, N_{\Delta_{i}}) = 0$ for i = 1, 2, 3;

(b') dim image $\hat{\beta} = 3r_1r_2r_3$ (=dim $C_{\Sigma t}^3$).

By simple calculation we can see that the condition (a') is equivalent to (a). By (2.9) and Corollary 2.1,

$$\begin{split} \dim \operatorname{image} \widehat{\beta} &= \sum_{i=1}^{3} \dim H^{0}(\varDelta_{i}, \, N_{\varDelta_{i}}) - \dim H^{0}(\varDelta, \, \mathscr{N}_{\varDelta}) \\ &= C(r_{1}) + C(r_{2}) + C(r_{3}) + C(r_{1} - r_{2} - r_{3}) - C(r_{1} - r_{2}) \\ &- C(r_{1} - r_{3}) - C(r_{2} - r_{3}) - \delta_{r_{1}, r_{2}} - \delta_{r_{2}, r_{3}} - \delta_{r_{3}, r_{1}} - 3 \end{split}$$

q.e.d.

Hence the condition (b') is identical with (b).

THEOREM 2.1. Let X be a non-sigular surface of type (n, r_1, r_2, r_3) , and let S be the surface with oridinary singularities in P corresponding to X. Then:

(a) Except for those of types (6, 1, 1, 1), (7, 2, 1, 1), (8, 2, 2, 1), (8, 2, 2, 2), we obtain

$$h^{1}(P, \mathscr{O}_{P}([S + K_{P}] - \varDelta)) = 0$$

Hence the connecting homomorphism $\delta: H^{\circ}(S, \Phi_{s}) \to H^{1}(X, T_{x})$ is surjective, and the Kuranishi family of deformations of the complex structure of X is non-singular. The number m(X) of moduli is given by

$$\begin{split} m(X) &= h^{0}(P, \, \mathscr{O}_{P}(n-2d)) - 1 + h^{0}(d, \, \mathscr{N}_{d}) - h_{0}(P, \, T_{P}) \\ &= C(n-r_{1}-r_{2}-r_{3}) + C(n-2r_{2}-2r_{3}) - C(n-2r_{2}-2r_{3}-r_{1}) \\ &+ C(n-2r_{3}-2r_{1}) - C(n-2r_{3}-2r_{1}-r_{2}) + C(n-2r_{1}-2r_{2}) \\ &- C(n-2r_{1}-2r_{2}-r_{3}) + C(r_{1}) + C(r_{2}) + C(r_{3}) - C(r_{1}-r_{2}-r_{3}) - 19 . \end{split}$$
(b) As to those of types (6, 1, 1, 1) and (7, 2, 1, 1) we obtain

$$h^{0}(X, T_{x}) = h^{2}(X, T_{x}) = 0$$
.

Hence its Kuranishi family of deformations is also non-singular. The number m(X) of moduli is given by

$$m(X) = \begin{cases} 34 & (6, 1, 1, 1) \\ 42 & (7, 2, 1, 1) \end{cases}$$

PROOF. (a) Applying $\bigotimes \Omega_p^1$ to the exact sequence of sheaves in Proposition 2.1 and setting k = n - 4, we obtain the following exact sequence of sheaves:

$$(2.10) \qquad \begin{array}{l} 0 \longrightarrow \mathcal{Q}_{P}^{1}(n-4-r_{1}-r_{2}-r_{3})^{\oplus 2} \\ \longrightarrow \mathcal{Q}_{P}^{1}(n-4-r_{1}-r_{2}) \bigoplus \mathcal{Q}_{P}^{1}(n-4-r_{2}-r_{3}) \bigoplus \mathcal{Q}_{P}^{1}(n-4-r_{3}-r_{1}) \\ \longrightarrow \mathcal{Q}_{P}^{1}((n-4)-d) \longrightarrow 0 \end{array}$$

Note that

$$egin{array}{ll} n-4-r_1-r_2
eq 0\ n-4-r_2-r_3
eq 0\ n-4-r_3-r_1
eq 0 \end{pmatrix} & \Leftrightarrow egin{array}{ll} \{ (n,\,2,\,2,\,2)\,\,,&n\geqq 9\ (n,\,2,\,2,\,1)\,\,,&n\geqq 9\ (n,\,2,\,1,\,1)\,\,,&n\geqq 9\ (n,\,2,\,1,\,1)\,\,,&n\geqq 9\ (n,\,2,\,1,\,1)\,\,,&n\geqq 9\ (n,\,2,\,1,\,1)\,\,,&n\geqq 7\ . \end{cases}$$

Therefore, taking the long exact sequence of cohomology groups associated to (2.10) we have

$$h^{1}(P, \Omega_{P}^{1}([S + K_{P}] - \Delta)) = h^{1}(P, \Omega_{P}^{1}((n - 4) - \Delta)) = 0$$

except for the surfaces S of types (6, 1, 1, 1), (7, 2, 1, 1), (8, 2, 2, 1), (8, 2, 2, 2). Hence by Theorem 1.1 (a) the connecting homomorphism $\delta: H^0(S, \Phi_S) \to H^1(X, T_X)$ is surjective for the surfaces in case (a) of the theorem. By Proposition 2.4 S belongs to a maximal analytic family $\mathscr{S} = \bigcup_{t \in M} S_t$ of surfaces in P with ordinary singularities which satisfies the conditions in Corollary 1.1. Therefore by Corollary 1.1 we conclude that the Kuranishi family of deformations of X is non-singular for the surfaces X in case (a) of the theorem, and the number m(X) of moduli is given by

$$m(X) = h^{0}(S, \Phi_{S}) - h^{0}(P, T_{P}) + h^{0}(P, T_{P}(\log S)) - h^{2}(P, \Omega^{1}_{P}([S + K_{P}] - \Delta))$$
.

We have $h^{\circ}(P, T_P) = 15$. By classifying the structure of the non-singular normalizations of the surfaces with ordinary singularities defined by the equation (2.1), the following turns out: if X is of general type, then the order of S in P is not less than five. Then the *logarithmic Kodaira* dimension $\bar{\kappa}(P-S)$ is equal to three. Therefore by Theorem 6 and the corollary to Proposition 4 in [5], we have $h^{\circ}(P, T_P(\log S)) = 0$. By (2.10) and Bott's theorem

$$h^{2}(P, \Omega^{1}_{P}([S + K_{P}] - \Delta)) = h^{2}(P, \Omega^{1}_{P}((n - 4) - \Delta)) = 0$$
.

By Proposition 2.2, Bott's theorem and (2.8), we have $h^{1}(S, \mathcal{O}_{S}([S]-2\Delta)) = 0$. Then by (2.5) we have

$$egin{aligned} h^{\scriptscriptstyle 0}\!(S,\,arPsymbol{\varPhi}_S) &= h^{\scriptscriptstyle 0}\!(S,\,\mathscr{O}_S([S]-2arDelta)) + \,h^{\scriptscriptstyle 0}\!(arDelta,\,\mathscr{N}_d) \ &= h^{\scriptscriptstyle 0}\!(P,\,\mathscr{O}_P([S]-2arDelta)) - 1 + \,h^{\scriptscriptstyle 0}\!(arDelta,\,\mathscr{N}_d) \ . \end{aligned}$$

Therefore the number $h^{0}(S, \Phi_{s})$ is calculated by Proposition 2.2, Bott's theorem and Corollary 2.1. Consequently, we obtain the formula for m(X) for the surfaces in case (a) of the theorem.

(b) As to the surfaces X of types (6, 1, 1, 1) and (7, 2, 1, 1), by (2.10) and Bott's theorem we derive

$$h^{0}(P, \Omega_{P}^{1}([S + K_{P}] - \Delta)) = h^{0}(P, \Omega_{P}^{1}((n - 4) - \Delta)) = 0$$

By Proposition 2.5 they are regular in *P*. Therefore by Theorem 1.2 we have $h^2(X, T_x) = 0$; hence their Kuranishi families of deformations of the complex analytic structures are non-singular, and the number m(X) of moduli is given by

$$m(X) = 10(p_a + 1) - c_1^2$$
.

By the classical formula (cf. [10]) for p_a and c_1^2 of the non-singular normalizations of the surfaces with ordinary singularities in P we can calculate the number m(X) of moduli of the surfaces of types (6, 1, 1, 1) and (7, 2, 1, 1). q.e.d.

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